



SEAPAC-Kingfisher container analysis summary

Area of interest (AOI):	Pacific Ocean
Analysis period:	01Jul2020 – 30Jun2021
Positional sources:	AIS
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Vessel Activity Summary

During the 1-year period from July 2020 through to June 2021, OceanMind carried out container risk analysis for 14 shipments delivered to SEAPAC-Kingfisher Holdings Ltd. These analyses provide independent validation of the legality and possible risks of these shipments. All risks in the analysis reports have been resolved and are considered closed.

Analysis was conducted on an ad-hoc bases according to when SEAPAC-Kingfisher purchased product via container shipments. The purpose of the risk analysis is to identify any potential non-compliant activity by the catching vessels and to provide SEAPAC-Kingfisher with recommendations for follow-up action to minimise and mitigate against risks.

The 14 container shipments of frozen tuna caught by purse seine fishing vessels were assessed for possible risks using AIS analysis. Documentation was also reviewed for consistency and compared with the observed activities on AIS. All of the fishing trips were observed



in the Western Pacific Ocean. Where appropriate, the OceanMind team supported further investigations of these vessels, including reviewing vessel logbooks and fishing licenses to confirm compliance and support investigations by SEAPAC-Kingfisher.

The legality and possible risks of these shipments were reviewed by OceanMind and can be classified into 2 event categories explained in the table below.

Table 1 - Regulation compliance issues identified between 01Jul2020 – 30Jun2021.

Event category	Event description	Monitoring summary
Valid fishing licenses	<p>The vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.</p> <p>Recommended to request valid fishing license/s for the possible fishing inside the EEZ.</p>	<p>Events identified - 18</p> <p>Vessels involved - 13</p>
AIS Gaps	<p>Gaps in AIS transmissions that could enable fishing activity.</p> <p>Recommended to confirm with the Flag state via the vessel agent to confirm the vessel activity during the AIS gaps and that no unauthorised or unlicensed fishing or at-sea transshipments took place. Request logsheets to confirm vessel activities during the AIS gaps.</p>	<p>Events identified - 4</p> <p>Vessels involved - 4</p>

Container Shipments

A total of 14 container shipments were analysed. A summary of all shipments are described below in Table 2. **All risks have been resolved and are considered closed.**

Table 2 -Container shipment summary of activity

Shipment	Fishing vessel	Container vessel	Documentation received	Risks identified and reported to SEAPAC-Kingfisher	Investigation results
Shipment 1	Fishing vessel 1	Container vessel 1	AIS Fisheries Certificate of Origin Captains Statement Dolphin Safe Certificate [REDACTED] Form of Catch Certificate to the EU's IUU Regulation Packing List Shipping Invoice Bill of Lading	Gaps in AIS transmissions Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.	Logbook and valid fishing license received. Activity verified and risks resolved
Shipment 2	Fishing vessel 2	Container vessel 2	AIS Fisheries Certificate of Origin Captains Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading EU Catch Certificate	Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zones (EEZs) without providing a valid fishing license.	Valid fishing licenses received. Activity verified and risks resolved



<p>Shipment 3</p>	<p>Fishing vessel 3</p>	<p>Container vessel 3</p>	<p>AIS Fisheries Certificate of Origin Captains Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading [REDACTED] Vessel Certificate [REDACTED] Certificate Generalized System of Preference Certificate of Origin [REDACTED] Export Certificate Flag State Fishing Permit beyond the EEZ of [REDACTED] Flag State Fishing Permit beyond the EEZ of [REDACTED] [REDACTED] General Particulars of Vessel Certification [REDACTED] Register Certificate of Registration</p>	<p>Gaps in AIS transmissions</p>	<p>Logbook received. Activity verified and risks resolved</p>
<p>Shipment 4</p>	<p>Fishing vessel 4</p>	<p>Container vessel 4</p>	<p>AIS Fisheries Certificate of Origin Captains Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading EU Catch Certificate [REDACTED] Certificate of the Fishing License [REDACTED] Export Certificate</p>	<p>Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zones (EEZs) without providing a valid fishing license.</p>	<p>Valid fishing licenses received. Activity verified and risks resolved</p>



Shipment 5	Fishing vessel 5	Container vessel 5	<p>AIS Fisheries Certificate of Origin Captains Statement Dolphin Safe Certificate ██████ Certificate of the Fishing License ██████ Export Certificate Packing List Shipping Invoice Bill of Lading</p>	<p>Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.</p>	<p>Valid fishing license received. Activity verified and risks resolved</p>
Shipment 6	Fishing vessel 6	Container vessel 6	<p>AIS Fisheries Certificate of Origin Captains Statement Dolphin Safe Certificate ██████ Export Certificate Packing List Shipping Invoice Bill of Lading</p>	<p>Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zones (EEZs) without providing a valid fishing license.</p>	<p>Valid fishing licenses received. Activity verified and risks resolved</p>
Shipment 7	Fishing vessel 7	Container vessel 7	<p>AIS Fisheries Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading ██████ Export Certificate</p>	<p>Gaps in AIS transmissions Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zones (EEZs) without providing a valid fishing license.</p>	<p>Logbook and valid fishing licenses received. Activity verified and risks resolved</p>
Shipment 8	Fishing vessel 8	Container vessel 8	<p>AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List</p>	<p>Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.</p>	<p>Valid fishing license received. Activity verified and risks resolved</p>



			Shipping Invoice Bill of Lading [REDACTED] Export Certificate		
Shipment 9	Fishing vessel 9	Container vessel 9	AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading [REDACTED] Export Certificate	Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.	Valid fishing license received. Activity verified and risks resolved
Shipment 10	Fishing vessel 10	Container vessel 10	AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading [REDACTED] Export Certificate	Gaps in AIS transmissions Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zones (EEZs) without providing a valid fishing license.	Logbook and valid fishing licenses received. Activity verified and risks resolved
Shipment 11	Fishing vessel 11	Container vessel 11	AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading [REDACTED] Export Certificate [REDACTED] Fishing License	Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.	Valid fishing license received. Activity verified and risks resolved



Shipment 12	Fishing vessel 12	Container vessel 12	AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading [REDACTED] Export Certificate [REDACTED] Fishing License	Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.	Valid fishing license received. Activity verified and risks resolved
Shipment 13	Fishing vessel 13	Container vessel 13	AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice Bill of Lading [REDACTED] Export Certificate	Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.	Valid fishing license received. Activity verified and risks resolved
Shipment 14	Fishing vessel 14	Container vessel 14	AIS Certificate of Origin Captain Statement Dolphin Safe Certificate Packing List Shipping Invoice	Fishing vessel showed behaviour that indicates possible fishing activity inside an Exclusive Economic Zone (EEZ) without providing a valid fishing license.	Valid fishing license received. Activity verified and risks resolved